

05-13-03

2877



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Klein L. Johnson Examiner: Mooney, Michael P.
Serial No.: 09/342,801 Group Art Unit: 2877
Filed: June 29, 1999
For: HERMETIC CHIP-SCALE PACKAGE FOR PHOTONIC DEVICE
Docket No.: 1139.1125101 (H16-25073 US)

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TRANSMITTAL SHEET

Mail Stop Amendment
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: E333851715US, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450, on this 12th day of May, 2003.

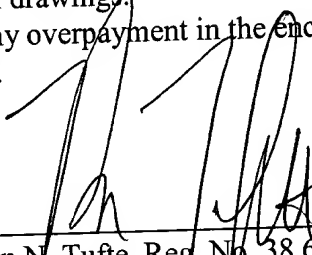
By Lynn Thompson
Lynn Thompson

We are transmitting herewith the attached:

- [X] Amendment in response to 2-13-03 office action
[X] Additional Fees Calculated Below

| CLAIMS AS AMENDED | | | | | | | |
|------------------------------------|------------------|--------------|-------|--------------|-----------|----------|-----------|
| | (3) | (4) | (5) | SMALL ENTITY | | OTHER | |
| | REMAINING CLAIMS | HIGHEST PAID | EXTRA | RATE | ADD'L FEE | RATE | ADD'L FEE |
| TOTAL CLAIMS | 65- | 57= | 8 | x9= | \$ | x18= | \$ 144 |
| INDEPEN- DENT CLAIMS | 5- | 4= | 8 | x42= | \$ | x84= | \$ 84 |
| () FIRST MULTIPLE DEPENDENT CLAIM | | | | +140= | \$ | +280= | \$ |
| TOTAL | | | | \$ | | \$228.00 | |

- [X] Fee Transmittal For FY 2003 authorizing payment in the amount of \$228.00 (claims) to be charged to Honeywell Deposit Account No. 01-1125.
- [X] Letter to Official Draftsperson.
- [X] Four (4) sheets substitute corrected formal drawings.
- [X] Please charge any deficiencies or credit any overpayment in the enclosed fees to Honeywell Deposit Account No. 01-1125.



Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349

This form is a Replica of PTO/SB/17 (01/01)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
For FY 2002

Patent fees are subject to annual revision.

COMPLETE IF KNOWN

| | |
|----------------------|-----------------------------|
| Application No. | 09/342,801 |
| Filing Date | June 29, 1999 |
| First Named Inventor | Klein L. Johnson |
| Group Art Unit | 2877 |
| Examiner Name | Michael P. Mooney |
| Attorney Docket No. | H16-25073 US (1139.1125101) |

TOTAL AMOUNT OF PAYMENT \$228.00

METHOD OF PAYMENT (check one)1 ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to

Deposit Account Number 01-1125

Deposit Account Name Honeywell International Inc.

☒ Charge any Additional Fee Required Under 37 CFR §§1.16 & 1.172 ☐ Payment Enclosed:
☐ Check ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|--------|--------------|--------|------------------------|----------|
| Fee Code | Fee \$ | Fee Code | Fee \$ | | |
| 101 | 740 | 201 | 370 | Utility Filing Fee | |
| 105 | 330 | 208 | 165 | Design Filing Fee | |
| 107 | 510 | 207 | 255 | Plant Filing Fee | |
| 108 | 740 | 208 | 370 | Reissue Filing Fee | |
| 114 | 160 | 214 | 80 | Provisional Filing Fee | |
| SUBTOTAL (1) | | | | | \$ |

2. EXTRA CLAIM FEES

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|-----------------------------------|--------------|----------------|----------|
| 64 - 57 = 7 | 6 | 18.00 | 144 |
| Independent Claims 5 - 4 = 1 | 1 | 84.00 | 84 |
| Multiple Dependent Claims - 0 = 0 | 0 | 280.00 | 0 |

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|--------|--------------|--------|---|----------|
| Fee Code | Fee \$ | Fee Code | Fee \$ | | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 | |
| 102 | 84 | 202 | 42 | Independent claims in excess of 3 | |
| 104 | 280 | 204 | 140 | Multiple dependent claim | |
| 109 | 84 | 209 | 42 | Reissue independent claims over original patent | |
| 110 | 18 | 210 | 9 | Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | | \$228.00 |

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

| Large Entity | | Small Entity | | Fee Description | Fee Paid |
|--------------|--------|--------------|--------|--|----------|
| Fee Code | Fee \$ | Fee Code | Fee \$ | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 120 | Non-English specification | |
| 147 | 2,520 | 147 | 2,250 | For filing a request for <i>ex parte</i> reexamination | |
| 112 | 920* | 112 | 320* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 400 | 216 | 200 | Extension for reply within second month | |
| 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| 119 | 320 | 219 | 180 | Notice of Appeal | |
| 120 | 320 | 220 | 180 | Filing a brief in support of an appeal | |
| 121 | 280 | 221 | 140 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,150 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| 143 | 460 | 243 | 230 | Design issue fee | |
| 144 | 620 | 244 | 310 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(g) | |
| 126 | 180 | 128 | 180 | Submission of Information Disclosure Statement | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 173 | 740 | 279 | 3370 | Request for Continued Examination (RCE) | |
| 159 | 900 | 169 | 800 | Request for expedited examination of a design application | |
| 195 | 300 | 195 | 300 | Publication fee | |

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$00

SUBMITTED BY

Name (Print / Type) Andrew A. Abeyta

Signature

Registration No.
(Attorney/Agent)

39,582

Complete (if applicable)

Telephone 602-313-3345

Date 5-12-03

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C., 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC, 20231.



#3/Formal Drawing
5/21/03
D Bell
PATENT

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Examiner: Mooney, Michael P.

Serial No.: 09/342,801

Group Art Unit: 2877

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LETTER TO OFFICIAL DRAFTSPERSON

Mail Stop PCPUB Drawings
Assistant Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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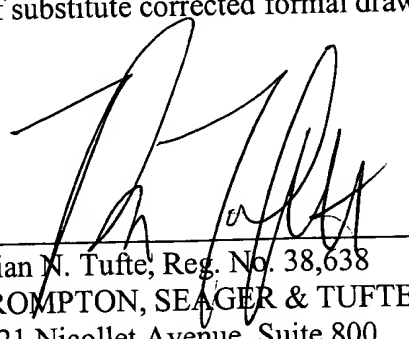
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By Lynn Thompson
Lynn Thompson

Dear Sir:

Submitted herewith are four (4) sheets of substitute corrected formal drawings for filing in the above-identified application.

Dated: May 12, 2003


Brian N. Tufte, Reg. No. 38,638
CROMPTON, SEAGER & TUFTE, LLC
1221 Nicollet Avenue, Suite 800
Minneapolis, MN 55403-2402
Telephone: (612) 677-9050
Facsimile: (612) 359-9349